



**PENSICO**  
TRUST COMPANY

Toll Free: 1-800-962-4238  
www.pensico.com

## STANDING PURCHASE INSTRUCTIONS (SPI)

Return this page to PENSICO Trust Company (PENSICO). Retain a copy for your personal records.

First Name: \_\_\_\_\_ MI: \_\_\_\_\_ Last Name: \_\_\_\_\_

PENSICO Account Number: \_\_\_\_\_ Frequency:  Monthly  Quarterly

Purchase Date \_\_\_\_\_ Your investment direction will be executed on the day of the month elected by you on this form. If you do not elect a day of the month, your purchase date will be on the day of the month that this form is processed by PENSICO (the "Default Date"), which will be no later than three business days after the day that PENSICO receives this form.

**Investment Authorization of Idle Cash** PENSICO will deposit any cash in the account, which is not otherwise invested, into a deposit account or accounts with one or more third party FDIC-insured financial institutions selected by PENSICO that are categorized as "well capitalized" institutions by the FDIC.

### STANDING PURCHASES

I direct PENSICO to purchase the following mutual funds and/or variable annuities on a periodic basis for my account. (Please list assets by priority. In the event of insufficient funds, purchases will be made in the order listed.)

This is a permanent change to my SPI authorization which will supercede any previous SPI instructions.

**Dividends will be reinvested where possible.**

CUSIP or Ticker	Asset Name (required—listed in order of priority)	Class	Initial Purchases <sup>1</sup> Dollar <sup>2</sup> or Percent <sup>3</sup>	Subsequent Purchases <sup>1</sup> Dollar <sup>2</sup> or Percent <sup>3</sup>

<sup>1</sup>The initial purchase will be processed upon receipt of the standing purchase instructions, and subsequent purchases will be processed based on the purchase date indicated, or if none, on the default date. If no dollar or percent amount is indicated for the initial purchase, the amount indicated for the subsequent purchases will be used for the initial purchase.

<sup>2</sup>The dollar amount must meet the product sponsor's purchase minimum or \$25, whichever is greater.

<sup>3</sup>The percentage purchase amounts are calculated based upon total available funds (not including outstanding fees) in your uninvested cash account at the time of purchase. PENSICO is not responsible for amounts invested that were intended for other purposes.

### SPI ACKNOWLEDGEMENT AND SIGNATURE

I, the undersigned Participant, agree to the conditions outlined on this form and the accompanying SPI information, including the Arbitration Statement. I acknowledge that I have received and read all pertinent information related to the above investment(s) (i.e., prospectus, investment agreement, etc.) and that I meet any suitability requirements indicated. I agree to notify PENSICO in writing if I wish to make a permanent change or cancel this SPI authorization, and understand that PENSICO may require up to ten (10) business days to honor such change or cancellation. I also understand that PENSICO may change or cancel this authorization at any time by providing written notice to me or my Financial Representative. Furthermore, I understand that if I request account termination or to be put on a minimum or scheduled distribution program, my SPI authorization will automatically be cancelled. If the Participant's Financial Representative is signing this form on behalf of the Participant, the Financial Representative hereby warrants that s/he is the duly authorized agent of the Participant and that the Participant meets the conditions of this agreement.



Participant or Authorized Financial Representative Signature

Date

**INVESTMENT PRODUCTS: NOT FDIC INSURED • NO BANK GUARANTEE • MAY LOSE VALUE**

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**SPI INSTRUCTIONS**

Please complete the following information and return this form to PENSICO so that we may initiate your SPI instructions. You may choose only one option (percent or dollar amount) for moving your available retirement plan cash into subsequent investment(s) designated under that option. The investment(s) you direct PENSICO to process will be prioritized in the order you have listed on this form. If you do not have enough money in your account on the day the SPI transaction is to be made, PENSICO will process only the investment(s) for which you have cash sufficient to meet the dollar amount(s) specified on this form, PENSICO's minimum \$25 SPI check amount or the investment sponsor's required minimum for subsequent purchases. Funds used to calculate and

process your SPI transactions will be reduced by any outstanding fees owed to PENSICO. The SPI service is for subsequent purchases of mutual funds. Purchases cannot be made through SPI if PENSICO does not reflect ownership of the investment(s) in your account.

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**CONFIRMATIONS DELIVERY POLICY**

The Participant/Employer/Account Owner agrees to receive trade confirmations in the form of periodic statements which includes trading transactions. The Participant/Employer/Account Owner further understands that he/she may receive a broker/dealer confirmation or a written notification of a particular transaction at no additional cost.

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**Upload forms to:**  
[www.pensco.com/upload](http://www.pensco.com/upload)

**Send mail to:**  
PENSICO  
P.O. Box 173859  
Denver, CO 80217-3859

**For express deliveries:**  
PENSICO  
1560 Broadway, Suite 400  
Denver, CO 80202-3331

**Questions?**  
Call 1-800-962-4238

**Fax to:** 303-614-7098