

# Interested Party Authorization

## INSTRUCTIONS

Please complete the information below if authorizing an Interested Party (including, but not limited to, broker, financial planner, accountant, attorney) to receive information about your account. **Please note that this individual will have limited access to your account information.** PENSCO Trust Company (PENSCO) will not act on any instructions from this individual.

Those who are designated as an Interested Party by the Account Owner may not be a sponsor of, or otherwise affiliated with an investment in the Account. It is the responsibility of the Account Owner and the Interested Party to review the Account assets to ensure compliance with this provision and to take steps to remove an Interested Party from the Account in the event of non-compliance.

This form authorizes PENSCO to provide account information and electronic statements to the individual referenced below. If the Interested Party is associated with a broker-dealer, financial exchange or regulated investment advisory firm, PENSCO may make information about Account activity available to the broker-dealer, exchange or compliance officer for the advisory firm as they deem necessary to receive such information. **I agree to release, indemnify, defend and hold PENSCO and its related entities harmless.**

## ACCOUNT OWNER INFORMATION (ALL FIELDS ARE REQUIRED unless otherwise stated.)

Please apply to all of my Accounts.

By checking this box, I request and authorize that any existing Interested Party (IP) on my Account be replaced with the IP listed below.

### 1. Account Owner

Name *(first, mi, last)*

PENSCO Account Number(s)

Date of Birth

Last Four Digits of SSN

Phone No.

Address

City

State

Country

Zip Code

### 2. Interested Party

Name *(first, mi, last)*

Firm Name *(if applicable)*

Address

City

State

Country

Zip Code

Primary Phone

Email Address



Account Owner Signature

Date

INVESTMENT PRODUCTS: NOT FDIC INSURED • NO BANK GUARANTEE • MAY LOSE VALUE

Upload forms to:  
[www.pensco.com/upload](http://www.pensco.com/upload)

Send mail to:  
PENSCO  
P.O. Box 173859  
Denver, CO 80217-3859

For express deliveries:  
PENSCO  
1560 Broadway, Suite 400  
Denver, CO 80202-3331

Questions?  
Call 800-962-4238  
[clients@pensco.com](mailto:clients@pensco.com)

Fax to: 303-614-7034