

# Tax Lien Investment Authorization

## IMPORTANT INFORMATION

All tax lien investments are subject to an administrative review by PENSCO Trust Company (PENSCO). Please provide the application for purchase if applicable.

1 ACCOUNT OWNER INFORMATION	
NAME (FIRST, MI, LAST)*	PENSCO ACCOUNT NO.*

2 FUNDING INSTRUCTIONS
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- I authorize and direct PENSCO Trust Company to: *(check one)*

### PURCHASE

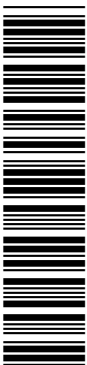
COUNTY*		
INVESTMENT AMOUNT*	AUCTION DATE S/B (MM/DD/YYYY)*	
PAYEE NAME*	PHONE NO.*	
STREET ADDRESS*		
CITY*	STATE*	POSTAL CODE.*

### SELL

PURCHASER*	PHONE NO.*	
STREET ADDRESS*		
CITY*	STATE*	POSTAL CODE.*

### TRANSFER *(Please complete and submit the Transfer/Rollover Request form)*

CURRENT TRUSTEE/CUSTODIAN*	PHONE NO.*	
STREET ADDRESS*		
CITY*	STATE*	POSTAL CODE.*



## 2 FUNDING INSTRUCTIONS (CONTINUED)

### CHECK

PAYEE NAME*		PHONE NO.*
STREET ADDRESS*		
CITY*	STATE*	POSTAL CODE.*

### WIRE

- Please write or type wire instructions below. Submitting incomplete information or attaching instructions separately will delay funding, as additional verification will be needed. Applicable fees will be assessed to your account.

BANK NAME*	
ABA NO.*	BANK ACCOUNT NAME*
BANK ACCOUNT NO.*	REF NO.*

### DOCUMENT DELIVERY INSTRUCTIONS (Please select one option below):

Regular Mail

Overnight Delivery (charge my PENSCO account)

Overnight Delivery (use pre-addressed air bill, included)

Overnight Delivery (send via 3rd party billing): Account No. \_\_\_\_\_ FedEx UPS

**NOTE:** If no option is selected the default will be to overnight at the client's expense. Please refer to the fee schedule for details.

## 3 ADMINISTRATIVE INFORMATION

The original tax certificate should be issued to "PENSCO Trust Company, LLC Custodian FBO

PLAN NAME*	<b>IRA</b>
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*("Retirement Plan" may be substituted for IRA where applicable.)*

**Original tax certificate should be forwarded to PENSCO Trust Company after the investment is funded. A special services fee may apply if the tax certificate is not received.**

*The Account Owner is responsible for obtaining copies of the executed documents for their records and review from the closing agent. If the originals are to be held by a third party, copies should be provided to PENSCO Trust Company.*

#### 4 ACKNOWLEDGMENT & SIGNATURE

1. I understand that I am responsible, and PENSICO and its related entities are not responsible, for selecting and reviewing the above investment and for determining the suitability, nature, value, risk, safety and merits of the investment that I authorize PENSICO to make for my Account.
2. I understand that PENSICO and its related entities are not related to or affiliated with the management or selling agent(s) of the investment(s) that I have directed PENSICO to purchase for my account. I acknowledge that PENSICO has not reviewed, recommended or commented on the investment merits, risks, suitability or managements of the asset(s) I have selected and I authorized PENSICO to process this transaction. I therefore agree to release, indemnify, defend, and hold PENSICO and its related entities harmless from any claims arising out of making such investment. I also understand and agree that PENSICO and its related entities will not be responsible to take any action should the investment noted herein become subject to default, including fraud, insolvency, bankruptcy, or other court order or legal process.
3. I agree that any dispute regarding this investment shall be submitted to binding arbitration pursuant to the Commercial Rules of the American Arbitration Association and the terms of the Custodial Agreement. I understand that the prevailing party shall be entitled to recover all legal fees, reasonable costs and expenses and that these shall be in addition to any award of damage or any other relief to which the prevailing party is entitled.
4. I have consulted my own attorney and hereby represent that PENSICO may hold title to this tax lien certificate or its collateral, where applicable. I hereby represent to PENSICO that I understand the risks involved with this investment, specifically, that there may be liability above and beyond the amount of the investment in the collateral property (example: ad valorem property taxes on the property or liability arising under Environment Laws). The losses will include any losses caused by, or arising out of, the presence, on or about the Property, of any Hazardous Substances, or any person or entity complying or failing to comply with any Environment Law. The term "Environment Law" means any law, rule, regulation, or ordinance relating to protection of the environment or human health. The term "Hazardous Substance" means any substance defined as hazardous or toxic, or otherwise regulated by any Environmental Law.
5. I represent that the above investment is not a prohibited transaction, as defined in the Internal Revenue Code and Department of Labor regulations.
6. I acknowledge that this investment is not insured by the FDIC, is not an obligation of or guaranteed by PENSICO and is subject to risk, including the possible loss of principal.
7. I agree that this Tax Lien Investment Authorization is further subject to all the terms and conditions of the Custodial Agreement.

 ACCOUNT OWNER SIGNATURE*	DATE*
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PENSICO Trust Company performs the duties of an independent custodian of assets for self-directed individual and business retirement accounts and does not provide investment advice, sell investments or offer any tax or legal advice. Clients or potential clients are advised to perform their own due diligence in choosing any investment opportunity as well as selecting any professional to assist them with an investment opportunity. Alternative investments are not FDIC insured and are subject to risk, including loss of principal.

**INVESTMENT PRODUCTS: NOT FDIC INSURED • NO BANK GUARANTEE • MAY LOSE VALUE**

**Upload forms to:**  
[www.pensico.com/upload](http://www.pensico.com/upload)

**Fax to:** 303-614-7036

**Send mail to:**  
PENSICO  
P.O. Box 173859  
Denver, CO 80217-3859

**For express deliveries:**  
PENSICO  
1560 Broadway, Suite 400  
Denver, CO 80202-3331

**Questions?**  
Call 800-962-4238